

# Outline

From Theory to Practice

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- ▶ Preprocessing and CNF transformation;
- ▶ Superposition system;
- ▶ Orderings;
- ▶ Selection functions;
- ▶ Fairness (saturation algorithms);
- ▶ Redundancy.

# Vampire's preprocessing (incomplete list)

1. (Optional) Select a **relevant subset** of formulas.
2. (Optional) Add **theory axioms**;
3. **Rectify** the formula.
4. If the formula contains any occurrence of  $\top$  or  $\perp$ , **simplify** the formula.
5. Remove **if-then-else** and **let-in** connectives.
6. **Flatten** the formula.
7. Apply **pure predicate elimination**.
8. (Optional) Remove **unused predicate definitions**.
9. Convert the formula into **equivalence negation normal form**.
10. Use a **naming technique** to replace some subformulas by their names.
11. Convert the formula into **negation normal form**.
12. **Skolemize** the formula.
13. (Optional) Replace **equality axioms**.
14. Determine a **literal ordering** to be used.
15. Transform the formula into its **conjunctive normal form**.
16. (Optional) **Function definition elimination**.
17. (Optional) **Inequality splitting**.
18. Remove **tautologies**.
19. **Pure literal elimination**.
20. Remove **clausal definitions**.

# Checking Redundancy

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Only when a **new clause** (a **child** of the selected clause and possibly other clauses) is added.

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- ▶ The **child is redundant**;
- ▶ The child makes one of the **clauses in the search space redundant**.

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Classification of redundancy checks:

- ▶ The **child is redundant**;
- ▶ The child makes one of the **clauses in the search space redundant**.

We use some **fair strategy** and perform these **checks after every inference** that generates a new clause.

In fact, **one can do better**.

## Demodulation, Non-Ground Case

$$\frac{l \simeq r \quad L[l'] \vee D}{L[r\theta] \vee D} \text{ (Dem),}$$

where  $l\theta = l'$ ,  $l\theta \succ r\theta$ , and  $(L[l'] \vee D)\theta \succ (l\theta \succ r\theta)$ .

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where  $l\theta = l'$ ,  $l\theta \succ r\theta$ , and  $(L[l'] \vee D)\theta \succ (l\theta \succ r\theta)$ .

Easier to understand:

$$\frac{I \simeq r \quad L[l\theta] \vee D}{L[r\theta] \vee D} \text{ (Dem),}$$

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# Generating and Simplifying Inferences

An inference

$$\frac{C_1 \quad \dots \quad C_n}{C} .$$

is called **simplifying** if at least one premise  $C_i$  becomes redundant after the addition of the conclusion  $C$  to the search space. We then say that  $C_i$  is **simplified into**  $C$ .

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**Idea:** try to search **eagerly** for simplifying inferences **bypassing the strategy** for inference selection.

# Generating and Simplifying Inferences

Two main implementation principles:

apply simplifying inferences  
eagerly;  
apply generating inferences  
lazily.

checking for simplifying  
inferences should pay off;  
so it must be cheap.

# Redundancy Checking

Redundancy-checking occurs upon addition of a new child  $C$ . It works as follows

- ▶ **Retention test:** check if  $C$  is redundant.
- ▶ **Forward simplification:** check if  $C$  can be simplified using a simplifying inference.
- ▶ **Backward simplification:** check if  $C$  simplifies or makes redundant an old clause.

# Examples

## Retention test:

- ▶ tautology-check;
- ▶ subsumption.

(A clause  $C$  subsumes a clause  $D$  if there exists a substitution  $\theta$  such that  $C\theta$  is a submultiset of  $D$ .)

## Simplification:

- ▶ demodulation (forward and backward);
- ▶ subsumption resolution (forward and backward).

## Some redundancy criteria are expensive

- ▶ Tautology-checking is based on **congruence closure**.
- ▶ Subsumption and subsumption resolution are **NP-complete**.

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# Term Indexing

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Given a set  $\mathcal{L}$  (the **set of indexed terms**), a binary relation  $R$  over terms (the **retrieval condition**) and a term  $t$  (called the **query term**), identify the subset  $\mathcal{M}$  of  $\mathcal{L}$  consisting of all of the terms  $l$  such that  $R(l, t)$  holds.

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The problem (and solution) is similar to database query answering, but data are much more complex than relational data (a clause is a **finite set of trees**, so the search space is a **(large) set of finite sets of trees**).

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The problem (and solution) is similar to database query answering, but data are much more complex than relational data (a clause is a **finite set of trees**, so the search space is a **(large) set of finite sets of trees**).

One puts the clauses in  $\mathcal{L}$  in a data structure, called the **index**. The data structure is designed with the only purpose to **make the retrieval fast**.

# Term Indexing

- ▶ **Different indexes** are needed to support different operations;
- ▶ The set of clauses is dynamically (and often) changes, so that **index maintenance** must be efficient.
- ▶ **Memory** is an issue (badly designed indexes may take much more space than clauses).
- ▶ The inverse retrieval conditions (the **same** algorithm on clauses) may require very **different indexing techniques** (e.g., forward and backward subsumption).
- ▶ Sensitive to the **signature** of the problem: techniques good for small signatures are too slow and too memory consuming for large signatures.

# Term Indexing in Vampire

- ▶ Various **hash tables**.
- ▶ **Flatterms** in constant memory for storing temporary clauses.
- ▶ **Code trees** for forward subsumption;
- ▶ **Code trees with precompiled ordering constraints**;
- ▶ **Discrimination trees**;
- ▶ **Substitution trees**;
- ▶ **Variables banks**;
- ▶ **Shared terms with renaming lists**;
- ▶ **Path index with compiled database joins**;
- ▶ ...

# Observations

- ▶ There may be **chains (repeated applications) of forward simplifications**.
- ▶ After a chain of forward simplifications **another retention test** can (should) be done.

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- ▶ After a chain of forward simplifications **another retention test** can (should) be done.
- ▶ **Backward simplification is often expensive**.
- ▶ In practice, the **retention test may include other checks, resulting in the loss of completeness**, for example, we may decide to discard too heavy clauses.

# How to Design a Good Saturation Algorithm?

A saturation algorithm must be **fair**: every possible generating inference must eventually be selected.

Two main implementation principles:

apply simplifying inferences  
eagerly;  
apply generating inferences  
lazily.

checking for simplifying  
inferences should pay off;  
so it must be cheap.

# Given Clause Algorithm (no Simplification)

```
input: init: set of clauses;  
var active, passive, queue: sets of clauses;  
var current: clauses ;  
active :=  $\emptyset$ ;  
passive := init;  
while passive  $\neq \emptyset$  do  
*   current := select(passive);  
    move current from passive to active;  
*   queue := infer(current, active);  
    if  $\square \in$  queue then return unsatisfiable;  
    passive := passive  $\cup$  queue  
od;  
return satisfiable
```

(\* clause selection \*)

(\* generating inferences \*)

# Given Clause Algorithm (with Simplification)

In fact, there is more than one ...

# Otter vs. Discount Saturation

Otter saturation algorithm:

- ▶ **active clauses** participate in **generating and simplifying inferences**;
- ▶ **passive clauses** participate in **simplifying inferences**.

Discount saturation algorithm:

- ▶ **active clauses** participate in **generating and simplifying inferences**;
- ▶ **passive clauses** do not participate in inferences.

# Otter vs. Discount Saturation, Newly Generated Clauses

Otter saturation algorithm:

- ▶ **active clauses** participate in generating and simplifying inferences;
- ▶ **new clauses** participate in simplifying inferences;
- ▶ **passive clauses** participate in simplifying inferences.

Discount saturation algorithm:

- ▶ **active clauses** participate in generating and simplifying inferences;
- ▶ **new clauses** participate in simplifying inferences;
- ▶ **passive clauses** do not participate in inferences.

# Otter vs. Discount Saturation, Newly Generated Clauses

Otter saturation algorithm:

- ▶ **active clauses** participate in generating inferences with the selected clause and simplifying inferences with new clauses;
- ▶ **new clauses** participate in simplifying inferences with all clauses;
- ▶ **passive clauses** participate in simplifying inferences with new clauses.

Discount saturation algorithm:

- ▶ **active clauses** participate in generating inferences and simplifying inferences with the selected clause and simplifying inferences with the new clauses;
- ▶ **new clauses** participate in simplifying inferences with the selected and active clauses;
- ▶ **passive clauses** do not participate in inferences.

# Otter Saturation Algorithm

**input:** *init*: set of clauses;

**var** *active*, *passive*, *unprocessed*: set of clauses;

**var** *given*, *new*: clause;

*active* :=  $\emptyset$ ;

*unprocessed* := *init*;

**loop**

**while** *unprocessed*  $\neq \emptyset$

*new* := *pop*(*unprocessed*);

**if** *new* =  $\square$  **then return** *unsatisfiable*;

**if** *retained*(*new*) **then**

      (\* retention test \*)

      simplify *new* by clauses in *active*  $\cup$  *passive*; (\* forward simplification \*)

**if** *new* =  $\square$  **then return** *unsatisfiable*;

**if** *retained*(*new*) **then**

        (\* another retention test \*)

        delete and simplify clauses in *active* and (\* backward simplification \*)

*passive* using *new*;

        move the simplified clauses to *unprocessed*;

        add *new* to *passive*

**if** *passive* =  $\emptyset$  **then return** *satisfiable* or *unknown*

*given* := *select*(*passive*);

      (\* clause selection \*)

    move *given* from *passive* to *active*;

*unprocessed* := *infer*(*given*, *active*);

      (\* generating inferences \*)

# Discount Saturation Algorithm

```
input: init: set of clauses;
var active, passive, unprocessed: set of clauses;
var given, new: clause;
active :=  $\emptyset$ ;
unprocessed := init;
loop
  while unprocessed  $\neq \emptyset$ 
    new := pop(unprocessed);
    if new =  $\square$  then return unsatisfiable;
    * if retained(new) then (* retention test *)
    *   simplify new by clauses in active; (* forward simplification *)
    *   if new =  $\square$  then return unsatisfiable;
    *   if retained(new) then (* retention test *)
    *     delete and simplify clauses in active using new; (* backward simplification *)
    *     move the simplified clauses to unprocessed;
    *     add new to passive
    if passive =  $\emptyset$  then return satisfiable or unknown
    * given := select(passive); (* clause selection *)
    * simplify given by clauses in active; (* forward simplification *)
    * if given =  $\square$  then return unsatisfiable;
    * if retained(given) then (* retention test *)
    *   delete and simplify clauses in active using given; (* backward simplification *)
    *   move the simplified clauses to unprocessed;
    *   add given to active;
    *   unprocessed := infer(given, active); (* generating inferences *)
```

# Age-Weight Ratio

How to select nice clauses?

- ▶ Small clauses are nice.
- ▶ Selecting only small clauses can postpone the selection of an old clause (e.g., input clause) for too long, in practice resulting in incompleteness.

# Age-Weight Ratio

How to select **nice** clauses?

- ▶ **Small** clauses are nice.
- ▶ Selecting only small clauses can **postpone the selection** of an old clause (e.g., input clause) for **too long**, in practice resulting in incompleteness.

Solution:

- ▶ A fixed percentage of clauses is selected **by weight**, the rest are selected **by age**.
- ▶ So we use an **age-weight ratio**  $a : w$ : of each  $a + w$  clauses select  $a$  **oldest** and  $w$  **smallest** clauses.

# Limited Resource Strategy

**Limited Resource Strategy:** try to approximate which clauses are **unreachable** by the end of the time limit and **remove** them from the search space.

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Try:

```
vampire --age_weight_ratio 10:1
  --backward_subsumption off
  --time_limit 60000
  GRP140-1.p
```