Outline

Subsumption and Tautology Deletion

A clause is a propositional tautology if it is of the form $p \vee \neg p \vee C$, that is, it contains a pair of complementary literals.
There are also equational tautologies, for example
$a \not\simeq b \vee b \not\simeq c \vee f(c, c) \simeq f(a, a)$.

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It was known since 1965 that subsumed clauses and propositional tautologies can be removed from the search space.

Problem

How can we prove that completeness is preserved if we remove subsumed clauses and tautologies from the search space?

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Solution: general theory of redundancy.

Bag Extension of an Ordering

Bag = finite multiset.

Let $>$ be any ordering on a set X. The bag extension of $>$ is a binary relation $>^{bag}$, on bags over X, defined as the smallest transitive relation on bags such that

$$\{x, y_1, \ldots, y_n\} >^{bag} \{x_1, \ldots, x_m, y_1, \ldots, y_n\}$$
$$\text{if } x > x_i \text{ for all } i \in \{1 \ldots m\},$$

where $m \geq 0$.

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Idea: a bag becomes smaller if we replace an element by any finite number of smaller elements.
The following results are known about the bag extensions of orderings:

1. $>^{bag}$ is an ordering;
2. If $>$ is total, then so is $>^{bag}$;
3. If $>$ is well-founded, then so is $>^{bag}$.

Clause Orderings

From now on consider clauses also as bags of literals. Note:

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For simpicity we denote the multiset ordering also by $\succ$.

Redundancy

A clause $C \in S$ is called redundant in S if it is a logical consequence of clauses in S strictly smaller than C.

Examples

A tautology $p \vee \neg p \vee C$ is a logical consequence of the empty set of formulas:

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If $\square \in S$, then all non-empty other clauses in S are redundant.

Redundant Clauses Can be Removed

In $\mathbb{BR}_\sigma$ (and in all calculi we will consider later) redundant clauses can be removed from the search space.

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Inference Process with Redundancy

Let $\mathbb{I}$ be an inference system. Consider an inference process with two kinds of step $S_i \Rightarrow S_{i+1}$:

1. Adding the conclusion of an $\mathbb{I}$-inference with premises in S_i.
2. Deletion of a clause redundant in S_i, that is

$$S_{i+1} = S_i - \{C\},$$

   where C is redundant in S_i.

Fairness: Persistent Clauses and Limit

Consider an inference process

$$S_0 \Rightarrow S_1 \Rightarrow S_2 \Rightarrow \ldots$$

A clause C is called persistent if

$$\exists i \forall j \geq i (C \in S_j).$$

The limit S_ω of the inference process is the set of all persistent clauses:

$$S_\omega = \bigcup_{i=0,1,\ldots} \bigcap_{j \geq i} S_j.$$

Fairness

The process is called $\mathbb{I}$-fair if every inference with persistent premises in S_ω has been applied, that is, if

$$\frac{C_1 \quad \ldots \quad C_n}{C}$$

is an inference in $\mathbb{I}$ and $\{C_1, \ldots, C_n\} \subseteq S_\omega$, then $C \in S_i$ for some i.

Completeness of $\mathbb{S}\mathrm{up}_{\succ,\sigma}$

Completeness Theorem. Let $\succ$ be a simplification ordering and σ a well-behaved selection function. Let also

1. S_0 be a set of clauses;
2. $S_0 \Rightarrow S_1 \Rightarrow S_2 \Rightarrow \ldots$ be a fair $\mathbb{S}\mathrm{up}_{\succ,\sigma}$-inference process.

Then S_0 is unsatisfiable if and only if $\square \in S_i$ for some i.

Saturation up to Redundancy

A set S of clauses is called saturated up to redundancy if for every $\mathbb{I}$-inference

$$\frac{C_1 \quad \ldots \quad C_n}{C}$$

with premises in S, either

1. $C \in S$; or
2. C is redundant w.r.t. S, that is, $S_{\prec C} \models C$.

Proof of Completeness

A trace of a clause C: a set of clauses $\{C_1, \ldots, C_n\} \subseteq S_\omega$ such that

1. $C \succ C_i$ for all $i = 1, \ldots, n$;
2. $C_1, \ldots, C_n \models C$.

Lemma. Every removed clause has a trace.
Lemma. The limit S_ω is saturated up to redundancy.
Lemma. The limit S_ω is logically equivalent to the initial set S_0.
Lemma. A set S of clauses saturated up to redundancy is unsatisfiable if and only if $\square \in S$.

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Interestingly, only the last lemma uses rules of $\mathbb{BR}_\sigma$.

Binary Resolution with Selection

One of the key properties to satisfy this lemma is the following: the conclusion of every rule is strictly smaller that the rightmost premise of this rule.

- Binary resolution,

$$\frac{\underline{p} \lor C_1 \quad \underline{\neg p} \lor C_2}{C_1 \lor C_2} \text{ (BR).}$$

- Positive factoring,

$$\frac{\underline{p} \lor \underline{p} \lor C}{p \lor C} \text{ (Fact).}$$

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The only problem with this characterisation is that there is no obvious way to build a model of S_0 out of a saturated set.